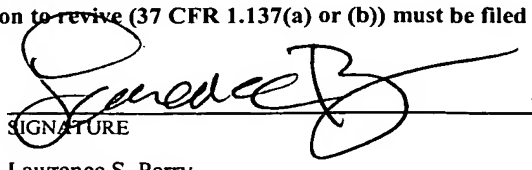


<b>TRANSMITTAL LETTER TO THE UNITED STATES                  DESIGNATED/ELECTED OFFICE (DO/EO/US)                  CONCERNING A SUBMISSION UNDER 35 U.S.C. 371</b>		ATTORNEY'S DOCKET NUMBER 00766.000116  U.S. APPLICATION NO. 10/529216 (If known, see 37 CFR 1.55)
INTERNATIONAL APPLICATION NO. PCT/JP2003/11214	INTERNATIONAL FILING DATE 2 September 2003	PRIORITY DATE CLAIMED 26 September 2002
TITLE OF INVENTION GLYCEROL DERIVATIVES		
APPLICANT(S) FOR DO/EO/US Hisao Nemoto, Motoo Yamasaki, Toshiyuki Suzawa and Hiroyuki Yamaguchi		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<ol style="list-style-type: none"> <li>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a submission under 35 U.S.C. 371.</li> <li>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a submission under 35 U.S.C. 371.</li> <li>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</li> <li>4. <input checked="" type="checkbox"/> The US has been elected (Article 31).</li> <li>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))                         <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input checked="" type="checkbox"/> has been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> </li> <li>6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).                         <ol style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> is attached hereto.</li> <li>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</li> </ol> </li> <li>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))                         <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input type="checkbox"/> have been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input checked="" type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</li> <li>10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li> </ol>		
Items 11 to 20 below concern other document(s) or information included:		
<ol style="list-style-type: none"> <li>11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li> <li>12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li>13. <input type="checkbox"/> A preliminary amendment.</li> <li>14. <input checked="" type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.</li> <li>15. <input type="checkbox"/> A substitute specification.</li> <li>16. <input type="checkbox"/> A power of attorney and/or change of address letter.</li> <li>17. <input checked="" type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.</li> <li>18. <input checked="" type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4) (cover only).</li> <li>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</li> <li>20. <input checked="" type="checkbox"/> Other items or information: 1 Sheet of formal drawing; Form PCT/RO/101; Form PCT/IB/304; Form PCT/ISA/210; PCT/IPEA/409; Claim Fee Calculation Sheet</li> </ol>		

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

U.S. APPLICATION NO. <b>10/529216</b> INTERNATIONAL APPLICATION NO. PCT/JP2003/11214		ATTORNEY'S DOCKET NUMBER 00766.000116											
The following fees are submitted: 21. <input checked="" type="checkbox"/> Basic national fee ..... \$300 22. <input checked="" type="checkbox"/> Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provision of PCT Article 33(1)-(4) ..... \$100 All other situations ..... \$200 23. <input checked="" type="checkbox"/> Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority ..... \$100 International Search Report prepared and provided to the Office ..... \$400 All other situations ..... \$500 <b>TOTAL OF 21, 22 and 23 =</b>		<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <th style="width:50%;">CALCULATIONS</th> <th style="width:50%;">PTO USE ONLY</th> </tr> <tr> <td>\$300.00</td> <td></td> </tr> <tr> <td>\$200.00</td> <td></td> </tr> <tr> <td>\$400.00</td> <td></td> </tr> <tr> <td>\$900.00</td> <td></td> </tr> </table>		CALCULATIONS	PTO USE ONLY	\$300.00		\$200.00		\$400.00		\$900.00	
CALCULATIONS	PTO USE ONLY												
\$300.00													
\$200.00													
\$400.00													
\$900.00													
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.													
Total Sheets	Extra sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE										
81 - 100 =	0/50 =	0	x \$250.00										
			\$0.00										
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)).		\$											
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE										
Total Claims	32 - 20 =	12	x \$ 50										
Independent Claims	5 - 3 =	2	x \$200										
MULTIPLE DEPENDENT CLAIM(S) (if applicable)		+ \$360	\$360.00										
<b>TOTAL OF ABOVE CALCULATIONS =</b>		\$2260.00											
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.		\$											
<b>SUBTOTAL =</b>		\$2260.00											
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).		\$											
<b>TOTAL NATIONAL FEE =</b>		\$2260.00											
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property		\$40.00											
<b>TOTAL FEES ENCLOSED =</b>		\$2300.00											
		<b>Amount to be refunded:</b>	\$										
		<b>Amount to be charged:</b>	\$										
a. <input checked="" type="checkbox"/> A check in the amount of <u>\$2300.00</u> to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$_____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>06-1205</u> . A duplicate copy of this sheet is enclosed. d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. <b>Credit card information should not be included on this form.</b> Provide credit card information and authorization on PTO-2038.													
<b>NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.</b>													
SEND ALL CORRESPONDENCE TO: Lawrence S. Perry Fitzpatrick, Cella, Harper & Scinto 30 Rockefeller Plaza New York, NY 10112-3800		<div style="text-align: center;">             SIGNATURE            Lawrence S. Perry            NAME            31,865            REGISTRATION NUMBER         </div> <div style="text-align: right;">           March 24, 2005            DATE         </div>											

00766.000116

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)	
HISAO NEMOTO, ET AL.	:	Examiner: Not Yet Assigned
Application No.: 10/529,216	)	
	:	Group Art Unit: Not Yet Assigned
Filing Date: March 25, 2005	)	
For: GLYCEROL DERIVATIVES	:	
	)	May 24, 2005

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

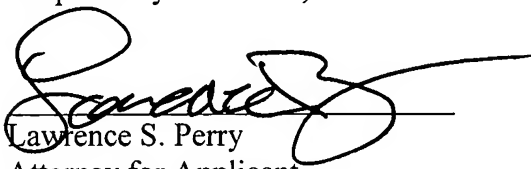
SUBMISSION OF FORMAL DRAWINGS

Sir:

Applicants submit herewith one sheet of formal drawings to be substituted for the corresponding drawing sheet presently on file in the above-identified application.

Applicant's undersigned attorney may be reached in our New York office by telephone at (212) 218-2100. All correspondence should continue to be directed to our below listed address.

Respectfully submitted,

  
Lawrence S. Perry  
Attorney for Applicant  
Registration No. 31,865

FITZPATRICK, CELLA, HARPER & SCINTO  
30 Rockefeller Plaza  
New York, New York 10112-3801  
Facsimile: (212) 218-2200

LSP\ac  
NY\_Main 500478\_1

JC10 Rec'd PCT/PTO 25 MAY 2005 <sup>A2</sup>  
 PCT  
 \$



In re Application of:

HISAO NEMOTO, ET AL.

Application No.: 10/529,216

Filed: March 25, 2005

For: GLYCEROL DERIVATIVES

Docket No. 00766.000116

Examiner: Not Yet Assigned

Group Art Unit: Not Yet Assigned

Date: May 25, 2005

THE COMMISSIONER FOR PATENTS

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

Transmitted herewith is a Preliminary Amendment in the above-identified application.

☐ No additional fee is required.

The fee has been calculated as shown below

CLAIMS AS AMENDED						
	(2) CLAIMS REMAINING AFTER AMENDMENT		(4) HIGHEST NO. PREVIOUSLY PAID FOR	(5) PRESENT EXTRA	RATE	ADDITIONAL FEE
TOTAL CLAIMS	* 82	MINUS	** 32	= 50	x \$25 \$50	\$2500.00
INDEP. CLAIMS	* 5	MINUS	*** 5	= 0	x \$100 \$200	\$0.00
Fee for Multiple Dependent claims \$180°/\$360						Previously Paid
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT---						\$2500.00

\* If the entry in Column 2 is less than the entry in Column 4, write "0" in Column 5.

\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.

\*\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space.

05/31/2005 SHAJARRO 00000078 10529216

01 FC:1615

2500.00 OP

- ☐ Verified Statement claiming small entity status is enclosed, if not filed previously.
- ☒ A check in the amount of \$2500.00 is enclosed.
- ☐ Charge \$\_\_\_\_\_ to Deposit Account No. 06-1205. A duplicate copy of this sheet is enclosed.
- ☒ Any prior general authorization to charge an issue fee under 37 C.F.R. 1.18 to Deposit Account No. 06-1205 is hereby revoked. The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. 1.16 and 1.17 which may be required during the entire pendency of this application, or to credit any overpayment, to Deposit Account No. 06-1205. A duplicate copy of this paper is enclosed.
- ☐ A check in the amount of \$\_\_\_\_\_ to cover the fee for a \_\_\_\_\_ month extension is enclosed.
- ☒ An Information Disclosure Statement with three references.
- ☒ Submission of Formal Drawings, 1 sheet of drawing.
- ☒ Applicants' undersigned attorney may be reached in our New York office by telephone at (212) 218-2100. All correspondence should continue to be directed to our address given below.

Respectfully submitted,

  
Lawrence S. Perry  
Attorney for Applicants  
Registration No. 31,865

FITZPATRICK, CELLA, HARPER & SCINTO  
30 Rockefeller Plaza  
New York, New York 10112-3800  
Facsimile: (212) 218-2200

LSP\ac

NY\_MAIN 500550v1

10/529216

JC06 Rec'd PCT/PTO 25 MAR 2005

Attorney Docket No. 00766.000116

Application No. Not Yet Assigned

Inventor: Hisao Nemoto, et al.

(National Stage of PCT Application No. PCT/JP03/11214)

**CLAIM FEE CALCULATION SHEET**

Claim Number	Dependency	Fee Charge
1	I	1
2	D1	1
3	D1	1
4	D 1 to 3	3
5	D 1 to 4 (improper)	1
6	D 1 to 5 (improper)	1
7	D 1 to 6 (improper)	1
8	D 1 to 7 (improper)	1
9	D 1 to 8 (improper)	1
10	D 1 to 9 (improper)	1
11	D 1 to 10 (improper)	1
12	D 1 to 11 (improper)	1
13	D 1 to 12 (improper)	1
14	I	1
15	I	1
16	I	1
17	I	1
18	D 1 to 12 and 14-17 (improper)	1
19	D 18	1
20	D 18	1
21	D 18 to 20 (improper)	1
22	D 18 to 20 (improper)	1
23	D 1 to 12 and 14-17 (improper)	1
24	D 23	1
25	D 23	1
26	D 23-25 (improper)	1
27	D 1 to 12 and 14-17 (improper)	1
28	D 27	1
29	D 1 to 12 and 14-17 (improper)	1
30	D 1 to 12 and 14-17 (improper)	1
TOTAL:		32